

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

12994

In Re Application Of: Tomoaki Hokao

SEP 13 2004

PATENT &amp; TRADEMARK

Application No.

09/404,704

Filing Date

September 23, 1999

Examiner

Dung X. Nguyen

Customer No.

23389

Group Art Unit

2631

Confirmation No.

8700

Title: RECEIVING CIRCUIT, MOBILE TERMINAL WITH RECEIVING CIRCUIT, AND METHOD OF RECEIVING DATA

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Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☒ the statement specified in 37 CFR 1.97(e);
- OR
- ☐ the fee set forth in 37 CFR 1.17(p).

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

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## Payment of Fee

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account **19-1013/SSMP** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

## Certificate of Transmission by Facsimile\*

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Seth M. Weinfeld

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Dated: September 9, 2004

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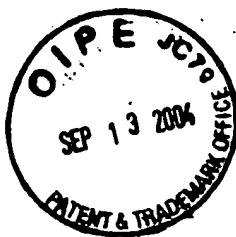
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Tomoaki Hokao

**Serial No:** 09/404,704

**Filed:** September 23, 1999

**For:** RECEIVING CIRCUIT, MOBILE  
TERMINAL WITH RECEIVING CIRCUIT,  
AND METHOD OF RECEIVING DATA



**Examiner:** Dung X. Nguyen

**Art Unit:** 2631

**Docket:** 12994

**Dated:** September 9, 2004

**Confirmation No.:** 8700

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Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

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**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

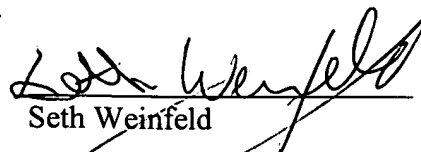
1. Japanese Publication No.: 07273689, published October 20, 1995 (Japan Patent Abstract);
2. European Publication No.: 0704985, published April 3, 1996;
3. Great Britain Patent Application No.: GB 2 313023, published November 12, 1997; and

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: September 9, 2004

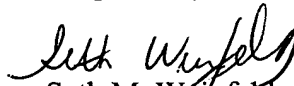
  
Seth Weinfeld

4. Japanese Publication No.: 07231278, published August 29, 1995 (Japan Patent Abstract).

The references were cited in a Search Report dated June 9, 2004 received from the European Patent Office. Applicant is submitting copies of the above-cited references, together with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

Further, the undersigned hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,



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